



**PRIORITY
DOCUMENT**
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)



GB04/4725



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

REC'D 25 NOV 2004	
WIPO	PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

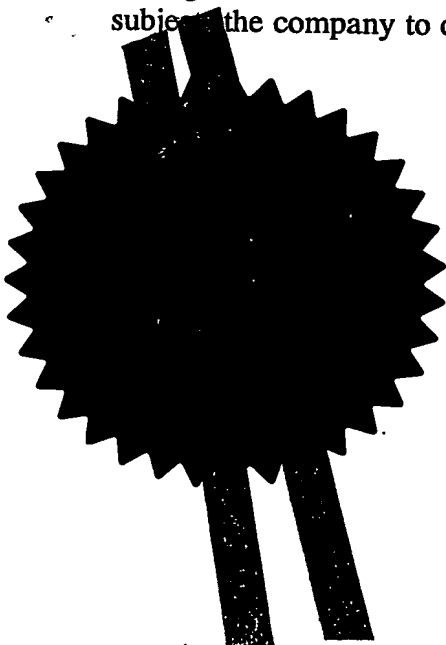
Signed

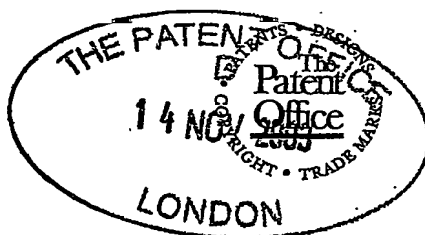
H. Behan

Dated

11 October 2004

BEST AVAILABLE COPY





1/77

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference	M03B167/ASB		
2. Patent application number (The Patent Office will fill in this part)	0326613.7		17NDV03 E852410-1 D02805 P01/7700 0.00-0326613.7
3. Full name, address and postcode of the or of each applicant (underline all surnames) The BOC Group plc, Chertsey Road, Windlesham, Surrey, GU20 6HJ			
Patents ADP number (if you know it)	884627002	7975949001	
If the applicant is a corporate body, give the country/state of its incorporation	England		
4. Title of the invention	VACUUM PUMP		
5. Name of your agent (if you have one)	Andrew Steven BOOTH		
"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode) The BOC Group plc, Chertsey Road, Windlesham, Surrey, GU20 6HJ			
Patents ADP number (if you know it)	7975949001		
6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number	Country	Priority application number (if you know it)	Date of filing (day / month / year)
7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier application		Date of filing (day / month / year)
8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if: a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or c) any named applicant is a corporate body. See note (d))	Yes		

3. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form	0
Description	6
Claim(s)	2
Abstract	0 0
Drawing(s)	0 3 2 3

10. If you are also filing any of the following, state how many against each item.

Priority documents	0
Translations of priority documents	0
Statement of inventorship and right to grant of a patent (Patents Form 7/77)	0
Request for preliminary examination and search (Patents Form 9/77)	1
Request for substantive examination (Patents Form 10/77)	0
Any other documents (please specify)	0

1. I/We request the grant of a patent on the basis of this application.

Signature *as Booth* Date 14/11/2003

2. Name and daytime telephone number of person to contact in the United Kingdom Andrew Steven Booth (01276) 807612

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- 1) If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- 2) Write your answers in capital letters using black ink or you may type them.
- 3) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- 4) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- 5) Once you have filled in the form you must remember to sign and date it.
- 6) For details of the fee and ways to pay please contact the Patent Office.

VACUUM PUMP

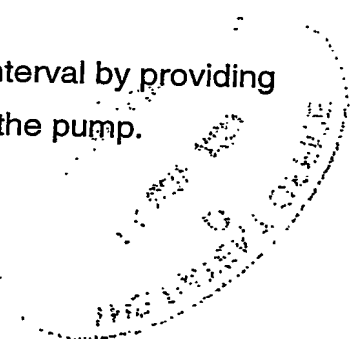
This invention relates to the field of vacuum pumps, in particular reducing the maintenance burden relating to dry vacuum pumps.

Such vacuum pumps can be used to evacuate a process chamber, through which hazardous/aggressive process gases may be passed to perform various functions in use. Dry vacuum pumps typically comprise one or more oil filled gear boxes. These are generally located remotely from the pump swept volume within the pumping apparatus. The swept volume accommodates the pumping mechanism which, in turn, is attached to and driven by the gear box, via one or more rotating shafts. It is important to prevent the transfer of oil from the gear box to the swept volume as oil would contaminate the process gases and potentially migrate to the process chamber, causing damage to the article being processed. It is also important to prevent particulate matter from the swept volume from reaching the gear box as this may cause contamination of the gear box oil and, consequently, reduce the reliability of the gear box components.

Conventionally, rotary seals are used around the rotating shafts to prevent transfer of oil from the gear box. However, it is very difficult to produce rotary seals which are suitably reliable and hardwearing. In trying to achieve these goals, exceptionally complex and expensive seals have conventionally been developed.

Loss of oil from the gear box not only causes potential contamination, but leads to degradation of the gear box itself if the volume of oil is significantly reduced. One conventional system collects such migrating oil but regular maintenance must be carried out to replenish the levels in the gear box and to empty the oil collection chambers.

It is an aim of the present invention to increase the maintenance interval by providing a system that prevents or significantly reduces the loss of oil from the pump.



According to the present invention there is provided a vacuum pump comprising:

- a pumping mechanism;
- a drive shaft for driving the pumping mechanism;
- a gear box connected to the drive shaft for rotating the drive shaft; and
- pressure control means defining a path to allow fluid to flow from the pumping mechanism to the gear box to reduce the pressure difference therebetween, and, located in said path, a reservoir for collecting oil passing along the drive shaft from the gear box towards the pumping mechanism so that, in use pressurised fluid flowing from the pumping mechanism towards the gear box urges oil collected in the reservoir towards the gear box.

By using the standard pressure cycle of the vacuum pump any oil collecting in the oil reservoir is regularly returned to the gear box such that the level of oil in the sump of the gear box is not significantly depleted over time.

The pressure control means may comprise one or more restrictions cooperating with the rotating shaft. These restrictions may define one or more chambers located along the length of the shaft. A first chamber may be proximate the pumping mechanism and a second chamber may be proximate the gear box.

The pressure control means may define a second path to allow fluid to flow from the gear box to the pumping mechanism to reduce the pressure difference therebetween. This second path may be defined, in part, by a bore within the drive shaft. The bore may have a fluid inlet proximate the gear box and a fluid outlet proximate a said chamber.

A non-return valve may be positioned, in the first path, between the oil reservoir and the gear box, the valve being arranged to be opened by pressurised fluid flowing from the pumping mechanism towards the gear box. This non-return valve may be activated by a pressure difference of 10 to 30 mbar. Such a sensitive valve ensures that each time the pressure rises (even minimally) in the swept volume in relation to

the gear box, any collected oil will be returned to the oil sump. Preferably, the valve has an inlet connected to the reservoir and an outlet connected to a conduit for conveying oil towards the gear box, preferably to the oil sump.

An example of the present invention will now be described with reference to the accompanying Figures in which;

Figure 1 illustrates a schematic representation of a vacuum pump;

Figure 2 illustrates a cross-section of a pump according to the present invention;

Figure 2a shows an enlarged view of a restriction in Figure 2;

Figure 3 illustrates a cross-section of a second pump according to the present invention;

Figure 4 illustrates a pump of Figure 2 in use when the gear box pressure is higher than that of the swept volume; and

Figure 5 illustrates a pump of Figure 2 in use when the swept volume pressure is higher than that of the gear box.

A basic representation of a vertical cross section of a vacuum pump 1 can be seen in Figure 1. A housing component 2 is provided which defines two primary internal cavities 3, 4. The first of these defines the swept volume 3 of the pump within which the rotor 6 of the pumping mechanism is located. The swept volume 3 has an inlet and an outlet (not shown) to allow the passage of process gases in use. The rotor 6 is mounted on a drive shaft 5 which rotates in use. The drive shaft 5 is coupled to a second drive shaft (not shown) by the gears in the gear box 4 such that the two shafts rotate in synchronisation, allowing the rotors 6 mounted on the shafts 5 to intermesh and perform the pumping function. In order to try to maintain an oil free swept volume 3, sealing mechanisms are generally implemented along the shaft in region 7 between the swept volume 3 and the gear box 4 to prevent migration of oil to the swept volume 3 from gear box 4.

Figure 2 shows a more detailed schematic view of a section of a pump according to the present invention. Within separation region 7, pressure control elements 11, 12

and 13 are provided along the length of the drive shaft 5. In this example, the pressure control elements are restrictions formed as part of the housing component 2 to provide a close but generally non-contacting tolerance with shaft 5. These restrictions may alternatively be configured as illustrated in Figure 2a. A resilient component 23 is fixed to the stationary housing thus forming a potentially contacting barrier between the housing and the rotating shaft. Where the pressure is raised sufficiently in region 15 the component 23 may deflect allowing gas to pass from region 15 to the gearbox 4. If, however the pressure is raised on the gear box side of component 23 the component will be deflected such that it is forced into contact with the shaft 5 such that the seal is enhanced and gas cannot pass from the gearbox 4 through restriction 13 to region 15.

In typical use of a vacuum pump, the ambient pressure in swept volume 5 will vary from high values (potentially up to atmospheric) and very low pressures, as may be found in high vacuum conditions. In normal usage, the different areas of the pump will be pressure balanced such that all of the components will be maintained at high vacuum conditions. In this way, the chances of regions of higher pressure fluid seeping to areas of low pressure are minimised such that high vacuum conditions are not inhibited. Consequently, it is necessary to reduce the pressure in the gear box in line with the pressures experienced in the swept volume. This is achieved by providing free flow paths between the gear box 4 and the swept volume 3.

The shaft 5 is provided with a central bore 19a which extends from the end of the shaft that lies within the gear box 4 to beyond the first pressure control means 13 as illustrated in Figure 2. Radial passages 19b extend from this central bore 19a to the area 15 between restrictions 12 and 13. A filter 16 is provided on the end of the shaft to prevent (or at least minimise the amount of) oil from passing from the gear box 4 towards the swept volume 3. A further conduit 18 is provided from the area 14 between restrictions 11 and 12 to the swept volume 3. Conduit 18 comprises a filter 17 which removes particulate matter from process gases passing from the swept volume 3 towards the gear box 4. A third conduit 20 connects the area 14 with the gear box 4 via a non-return valve 21.

Where the process gas does not comprise particles or other contaminants, filter 17, conduit 18 and pressure control element 11 may be omitted from the device as shown in Figure 3.

In use, and assuming that the entire pump 1 is initially at atmospheric pressure, the pressure will drop first in the swept volume 3 as this becomes evacuated by the pumping mechanism. With reference to Figure 4, since pressure element 13 inhibits fluid flow and the pressure gradient acting across the non return valve 21 will tend to oppose its opening so that valve 21 remains closed, the path of least resistance for the higher pressure gas within gear box 4 will be through filter 16 and passages 19. Filter 16 acts to remove any oil particles which may otherwise be transported by the gas travelling from the high pressure region to the lower pressure region. This gas then enters chamber 15, where pressure element 12 allows the gas to pass to chamber 14 and from there through conduit 18 and in to swept volume 3 via filter 17. As shown in Figure 4, the pressure elements 11 to 13 define a first flow path (indicated by arrows 30) from gear box 4 to the swept volume 3. Pressure element 11 may also allow some transmission of this gas from chamber 14 to the swept volume 3. Thus the pressure throughout the pump can be equalised.

The reverse process occurs (see Figure 5) when the swept volume 3 increases in pressure in relation to the gear box 4, for example during a load lock pump down when the process chamber will return to higher pressures. Higher pressure gas from the swept volume 3 flows to the gear box 4 through the path of least resistance, that is, via filter 17, as restriction 11 is configured to inhibit flow from the swept volume to chamber 14. This filter 17 prevents particulate contaminants from the process gas reaching conduit 18 and subsequently to chamber 14. Such particulate materials would contaminate the oil and make it unsuitable for use in the gear box 4. Pressure element 13 then provides an adverse pressure gradient to the gas such that the path of least resistance for the gas is through non-return valve 21 and conduit 20 which feeds directly in to the gear box 4 above the level of the oil in the sump (not illustrated). As shown in Figure 5, the pressure elements define a second flow path

(indicated by arrows 40) from the swept volume to the gear box. Minimal amounts of fluid may pass through conduits 19 and filter 16 (indicated by arrows 41). Through this mechanism, pressure can be equalised throughout the pump.

During use, oil collects under gravity in reservoir 22 beneath chamber 14. The oil in reservoir 22 is present due to, for example, imperfect sealing at pressure elements 12 and 13 and any oil mist that may pass through filter 16 from the gear box 4.

As described above, during the pressure equalisation process where the gear box 4 is brought up to the same pressure as the remainder of the pump, relatively higher pressure gases will thus pass through reservoir 22 and activate non-return valve 21. Non-return valve, 21 is sensitive and does not require a high fluctuation/difference in pressure to be opened, typically 10 to 30 mbar. Once opened, oil may be returned to the main sump of gear box 4. In practice, this oil return process will occur each time the pressure in the swept volume 3 is greater than that found in the gear box 4. Thus any oil that does leak along the shaft 5 will, automatically, be returned to the sump in the gear box 4 rather than being lost from the system completely.

Since the inventory of oil is retained in this way the requirement for maintenance of replenishing the oil in the gear box 4 or emptying oil collection devices is significantly reduced.

In the example where restriction 11, filter 17 and conduit 18 are not required, the chamber 14 is combined with the swept volume 3 such that any oil being transmitted as far as the pumping mechanism 6 is removed during the next pressure fluctuation cycle. Here (as illustrated in Figure 3) the non-return valve 21 and oil reservoir 22 are located at the base of the rotor housing, and so any oil that may pass through to the swept volume is collected by gravity in the reservoir 22 and subsequently returned to the gear box 4.

Claims

1. A vacuum pump comprising:
 - a pumping mechanism;
 - a drive shaft for driving the pumping mechanism;
 - a gear box connected to the drive shaft for rotating the drive shaft; and
 - pressure control means defining a path to allow fluid to flow from the pumping mechanism to the gear box to reduce the pressure difference therebetween, and, located in said path, a reservoir for collecting oil passing along the drive shaft from the gear box towards the pumping mechanism so that, in use, pressurised fluid flowing from the pumping mechanism towards the gear box urges oil collected in the reservoir towards the gear box.
2. A vacuum pump according to claim 1, wherein the pressure control means, comprises one or more restrictions cooperating with the rotating shaft.
3. A vacuum pump according to claim 2, wherein the one or more restrictions define one or more chambers located along the length of the shaft.
4. A vacuum pump according to claim 3, wherein the one or more restrictions define a first chamber proximate the pumping mechanism and a second chamber proximate the gear box.
5. A vacuum pump according to any preceding claim, wherein the pressure control means define a second path to allow fluid to flow from the gear box to the pumping mechanism to reduce the pressure difference therebetween.
6. A vacuum pump according to claim 5, wherein the second path is defined, in part, by a bore within the drive shaft.

7. A vacuum pump according to claim 6 wherein the bore has a fluid inlet proximate the gear box and a fluid outlet proximate a said chamber.
8. A vacuum pump according to any preceding claim, wherein a non-return valve is located in the first mentioned path between the oil reservoir and the gear box, the valve being arranged to be opened by pressurised fluid flowing from the pumping mechanism towards the gear box.
9. A vacuum pump according to any preceding claim; wherein at least part of the or each path is defined by a conduit extending between the pumping mechanism and the gear box and having at one end thereof a filter for removing particulates from the fluid passing therethrough.



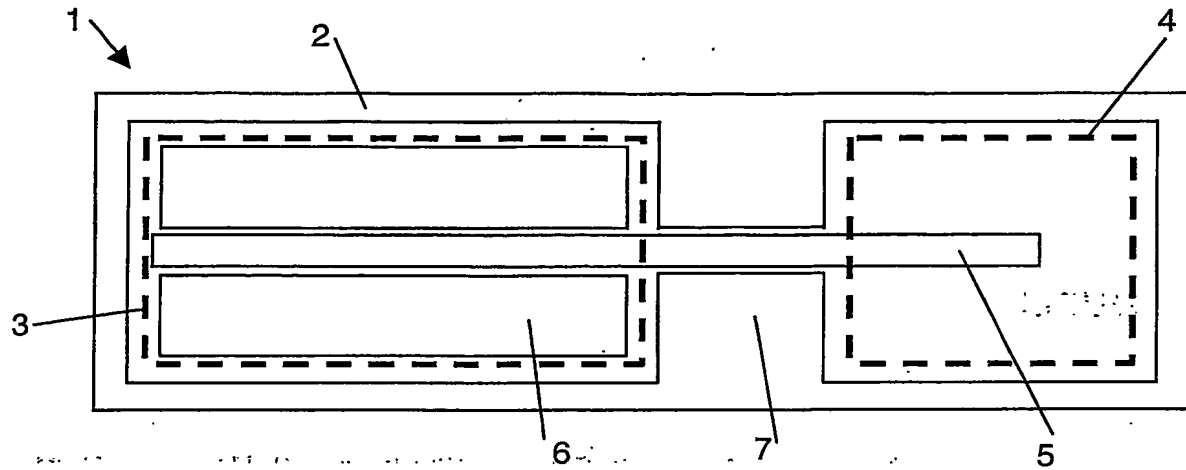


Figure 1

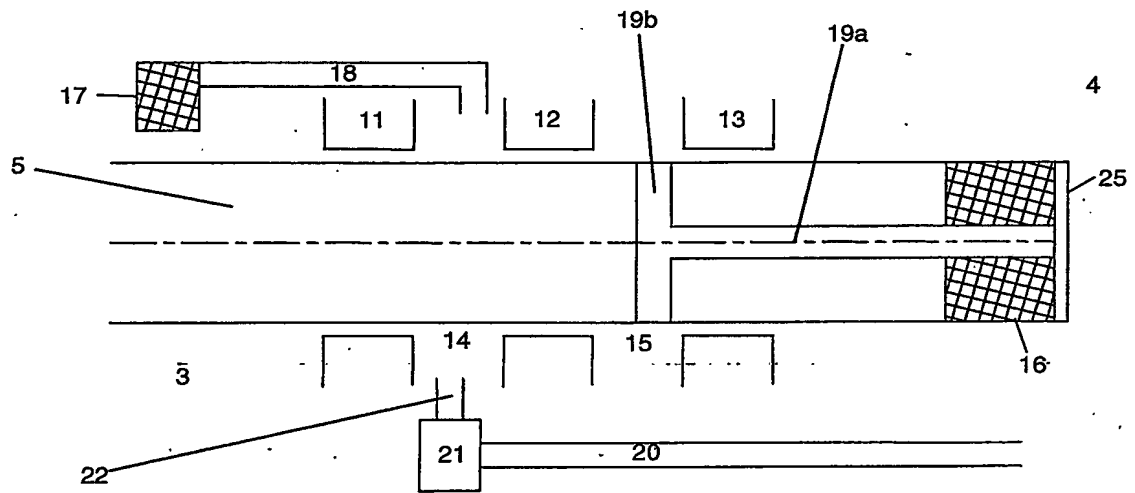


Figure 2

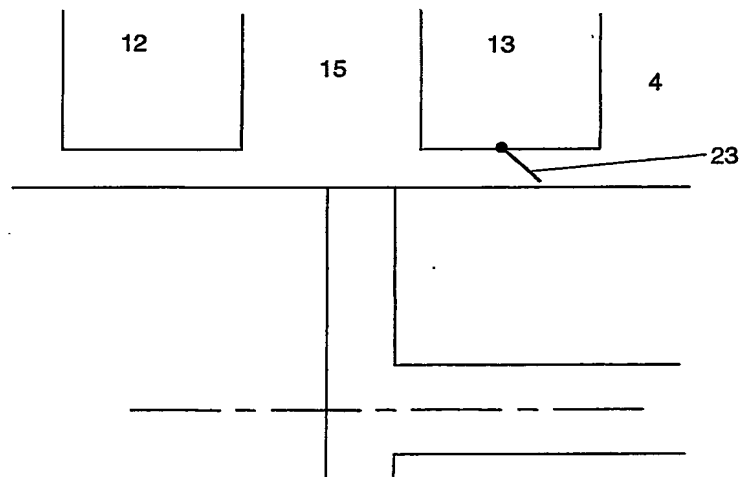


Figure 2a

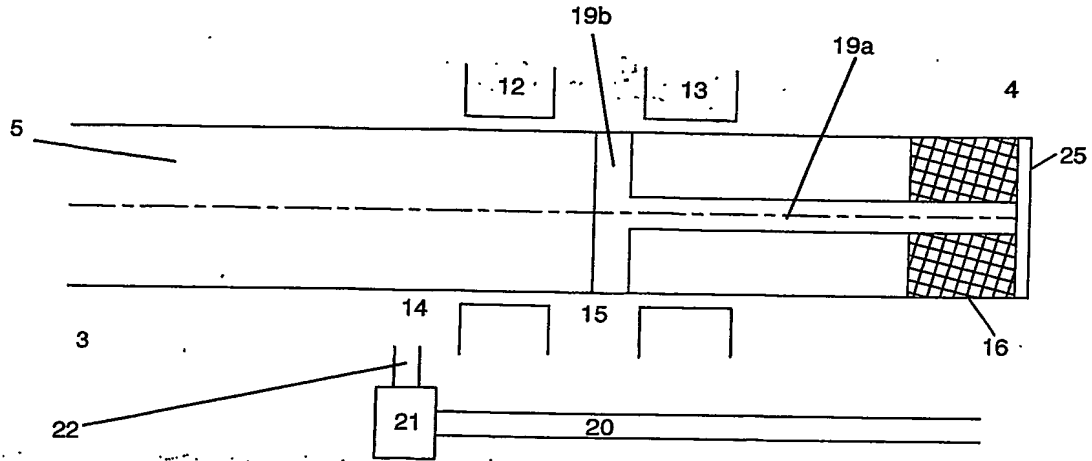


Figure 3

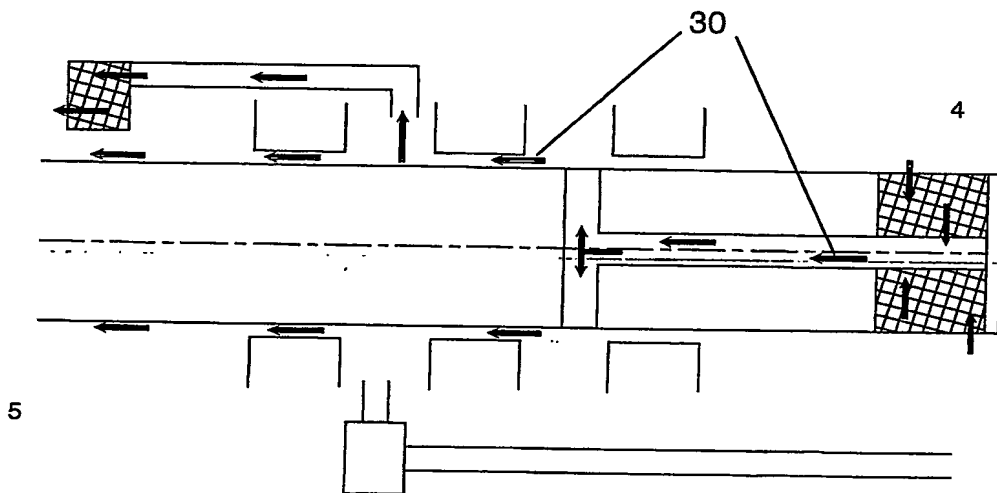


Figure 4

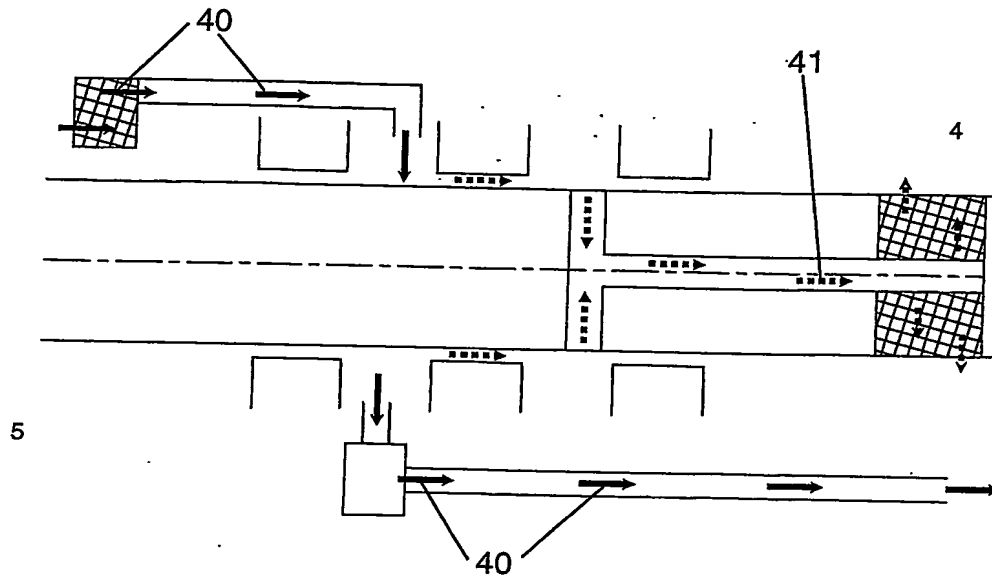
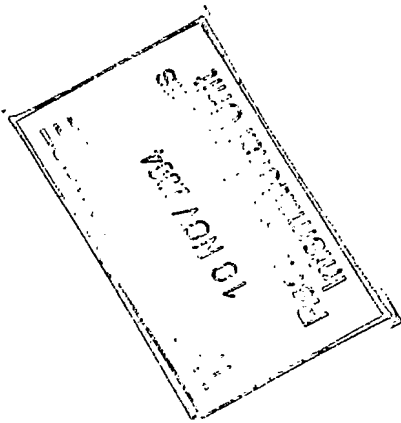
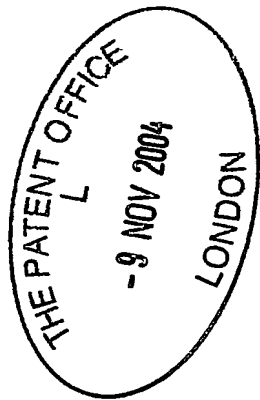


Figure 5



PCT/GB2004/004725



**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☒ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.